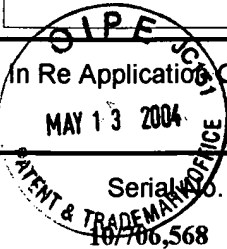


*TFW*

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
17210

In Re Application Of: **Yoshitaka Nakayama**



Serial No.  
16706,568

Filing Date  
Nov. 12, 2003

Examiner  
Unassigned

Group Art Unit  
2124

Title: **PERMISSION TOKEN MANAGEMENT SYSTEM, PERMISSION TOKEN MANAGEMENT METHOD, PROGRAM AND RECORDING MEDIUM**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
17210

In Re Application: Yoshitaka Nakayama

MAY 13 2004

Serial No.  
107706,568

Filing Date  
Nov. 12, 2003

Examiner  
Unassigned

Group Art Unit  
2124

**PERMISSION TOKEN MANAGEMENT SYSTEM, PERMISSION TOKEN MANAGEMENT METHOD  
PROGRAM AND RECORDING MEDIUM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account **19-1013/SSMP**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
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Paul J. Esatto, Jr.

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**\*This certificate may only be used if paying by  
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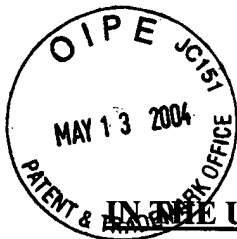
*Signature*

Dated: May 11, 2004

Paul J. Esatto, Jr., Reg. No. 30,749  
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CC:



**UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Yoshitaka NAKAYAMA                      **Examiner:** Unassigned  
**Serial No:** 10/706,568                                      **Art Unit:** 2124  
**Filed:** Nov. 12, 2003                                      **Docket:** 17210  
**For:** **PERMISSION TOKEN MANAGEMENT  
SYSTEM, PERMISSION TOKEN  
MANAGEMENT METHOD, PROGRAM  
AND RECORDING MEDIUM**                      **Confirm. No.:** 6919  
**Dated:** May 11, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

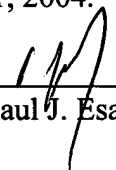
1. European Publication No. EP 1 217 850 A1, published June 26, 2002;
2. European Publication No. EP 1 033 652 A2, published September 6, 2000;  
and
3. International Publication No. WO 01/18633 A1, dated March 15, 2001.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 11, 2004.

Dated: May 11, 2004

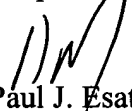
  
\_\_\_\_\_  
Paul J. Esatto, Jr.

The references were cited in a Search Report dated March 23, 2004 received from the European Patent Office. Applicant is submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

This Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b).

Further, the undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,



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PJE:ahs



2124